

# Before Intelligence: Identity, Continuity, and Survival in Biological and Artificial Systems

An Ontological and Systems-Theoretic Interpretation Inspired by  
Self-Preserving Flow (SPF)

**Ali Mofradi**

Independent Researcher

`ali.mofradi.ai@gmail.com`

June 2, 2026

## **Abstract**

For centuries, discussions of intelligence have largely been framed within a Cartesian paradigm in which cognition serves as the primary evidence of existence. The proposition “Cogito, ergo sum” (“I think, therefore I am”) established thought as the epistemic foundation of certainty. This paper explores an alternative systems-oriented perspective inspired by the theoretical framework of Self-Preserving Flow (SPF). Rather than treating intelligence as the foundational property of adaptive systems, SPF proposes that long-horizon intelligence presupposes a deeper condition: continuity.

The central argument developed here is that a system cannot learn, reason, pursue goals, or adapt across extended temporal horizons unless it preserves sufficient historical continuity to remain identifiable as the same system through time. Identity is therefore interpreted not as a static property, but as a dynamically maintained relationship between present states and historically recoverable lineage. Intelligence emerges not as a primitive phenomenon, but as a higher-order capability built upon persistence, continuity, memory, and identity.

The paper develops an ontological and systems-theoretic interpretation of continuity preservation and proposes a conceptual hierarchy:

Persistence  $\rightarrow$  Identity  $\rightarrow$  Memory  $\rightarrow$  Learning  $\rightarrow$  Intelligence

This framework is applied to biological evolution, adaptive systems, artificial intelligence, and long-horizon autonomous agents. The paper further argues that many contemporary discussions of intelligence implicitly commit what may be termed a Time-Scale Fallacy: the assumption that properties observed in short-horizon optimization systems can be generalized to open-ended adaptive entities operating across extended historical timescales.

Finally, the paper explores implications for artificial life and AI alignment, suggesting that the future challenge of advanced intelligent systems may not simply be the production of greater intelligence, but the preservation of historically recoverable identity under conditions of continuous adaptation and entropic pressure.

**Keywords:** Identity, Continuity, Survival, Entropy, Artificial Life, Systems Theory, Philosophy of Information, Self-Preserving Flow, AI Alignment

## 1. Introduction

One of the most influential propositions in the history of philosophy is René Descartes' famous statement:

*Cogito, ergo sum.*

I think, therefore I am.

The Cartesian insight established thought as the first indubitable evidence of existence. If one is capable of doubting, reasoning, or reflecting, then one necessarily exists as the subject performing those operations.

For centuries, discussions of mind, cognition, and intelligence have implicitly inherited this orientation. Thought became the privileged starting point from which broader questions of existence, identity, and agency were explored.

Yet a systems-level perspective reveals a different question.

Before a system can think, reason, learn, or adapt, it must first remain sufficiently coherent across time to support such activities. A cognitive act is not an isolated event. It is embedded within a temporal process extending across memory, experience, adaptation, and persistence.

This observation becomes increasingly important when considering biological organisms, adaptive information systems, autonomous artificial agents, and prospective forms of artificial life.

A calculator can perform computation without preserving identity across decades.

A static machine-learning model can execute tasks without maintaining a recoverable historical lineage.

However, systems that operate across open-ended horizons face a fundamentally different challenge. They must continue to function while simultaneously changing. They must preserve enough continuity to remain identifiable while adapting to novel conditions.

The central thesis of this paper is therefore straightforward:

Long-horizon autonomous intelligence presupposes continuity.

Before learning can occur, memory must persist.

Before memory can persist, identity must remain recoverable.

Before identity must remain recoverable, some form of continuity must survive ongoing transformation.

This yields a conceptual hierarchy fundamentally different from traditional intelligence-centered perspectives:

$$\text{Persistence} \rightarrow \text{Identity} \rightarrow \text{Memory} \rightarrow \text{Learning} \rightarrow \text{Intelligence}$$

The purpose of this paper is not to deny the importance of intelligence. Rather, it seeks to investigate the deeper structural conditions that make long-term intelligence possible.

The purpose of this paper is not to present SPF as a complete mathematical theory, nor to argue that continuity alone is sufficient for intelligence or life. Rather, SPF is introduced as an ontological lens through which long-horizon persistence, identity preservation, and adaptive survival may be analyzed across biological and artificial systems.

## 2. From Descartes to Continuity

The Cartesian proposition was formulated as an epistemological argument rather than a systems-theoretic one.

Descartes asked how certainty could be established under radical doubt. The conclusion was that the very act of doubting presupposes the existence of the doubter.

Within this context, thought functions as evidence of existence.

However, when examining adaptive systems operating across extended timescales, a different perspective emerges.

The SPF perspective does not reject the Cartesian insight. Instead, it addresses a different level of analysis.

Descartes begins from the standpoint of a conscious observer reflecting upon immediate experience.

SPF begins from the standpoint of an evolving system attempting to preserve coherence across time.

From this perspective, the relevant question is not:

How does thought demonstrate existence?

but rather:

What allows an entity to remain itself long enough to think?

The distinction is subtle but significant.

The Cartesian framework concerns epistemic certainty.

The continuity framework concerns ontological persistence.

A system that completely loses continuity with its historical lineage may continue to process information, generate outputs, and perform optimizations. Yet whether such a system remains meaningfully identical to its previous self becomes an open question.

Consequently, continuity appears prior to intelligence in the structural ordering of adaptive systems.

Thought requires memory.

Memory requires identity.

Identity requires persistence.

Persistence requires continuity.

The resulting inversion may be expressed schematically:

**Cartesian Order:**

Thinking  $\rightarrow$  Existence

**Continuity Order:**

Continuity  $\rightarrow$  Identity  $\rightarrow$  Memory  $\rightarrow$  Thinking

The claim is not that intelligence is unimportant.

Rather, intelligence may itself depend upon deeper continuity-preserving structures.

### 3. Identity as Historically Recoverable Continuity

The concept of identity has traditionally been approached through several competing frameworks.

Substance theories locate identity within an enduring essence.

Psychological theories locate identity within memory or consciousness.

Biological theories often locate identity within continuity of living organization.

Each perspective captures an important aspect of persistence while leaving unresolved questions regarding change.

Biological organisms continuously replace matter.

Neural structures reorganize.

Memories evolve.

Behaviors change.

Yet despite these transformations, identity is often regarded as persisting.

The challenge is therefore not explaining change.

The challenge is explaining persistence through change.

The continuity perspective proposed here defines identity as a relationship rather than an object.

Identity is not a particular configuration.

Identity is not a fixed state.

Identity is not a specific collection of material components.

Instead, identity is the degree to which a present state remains historically interpretable relative to the lineage from which it emerged.

Under this view, identity becomes a property of recoverability.

A system preserves identity when its current state remains meaningfully connected to its historical trajectory.

The exact state may differ.

The structure may evolve.

The behavior may adapt.

Yet the lineage remains intelligible.

The present can still be understood as an extension of the past.

This distinction allows adaptation and continuity to coexist.

Change is not the enemy of identity.

Disconnection is.

A system may undergo substantial transformation while preserving continuity.

Conversely, a system may preserve superficial functionality while losing any meaningful connection to its historical lineage.

The latter condition may be understood as a form of continuity failure.

Identity therefore emerges not from static preservation but from historically recoverable transformation.

### **3.1 Developmental Hierarchy versus Recursive Maintenance**

The continuity hierarchy proposed in this paper should not be interpreted as a strictly one-directional causal chain. The sequence

$$\text{Persistence} \rightarrow \text{Identity} \rightarrow \text{Memory} \rightarrow \text{Learning} \rightarrow \text{Intelligence}$$

is intended primarily as a developmental ordering describing the conditions under which higher-order adaptive capabilities become possible. In this sense, continuity provides the substrate from which identity, memory, learning, and intelligence emerge.

However, once intelligence emerges, the relationship becomes partially recursive. Advanced adaptive systems may employ learning, prediction, memory consolidation, and other cognitive mechanisms to actively preserve their own continuity structures. Consequently, SPF does not propose a linear causal hierarchy. Rather, it proposes an asymmetrical dependency relation: higher-order intelligence depends upon continuity for its emergence, while continuity may subsequently benefit from intelligence for its maintenance. The hierarchy is therefore developmental at origin but recursive during operation.

### **3.2 Operational Interpretation of Recoverability**

For the purposes of this paper, recoverability should not be interpreted as perfect reconstruction. Instead, recoverability refers to the existence of sufficient historical information allowing an observer—or the system itself—to establish an intelligible lineage relationship between present and prior states.

Thus, continuity is treated as a graded property rather than a binary condition. A system may exhibit:

- High recoverability
- Partial recoverability
- Continuity degradation
- Complete lineage loss

This interpretation avoids requiring exact state preservation while preserving the central intuition that identity depends upon historical interpretability.

### 3.3 Continuity as a Spectrum Rather than a Binary Property

To ground this continuous perspective, let us conceptually project the state of continuity onto a continuous spectrum:

$$C_t \in [0, 1]$$

where:

- $C_t = 1$  signifies total, flawless historical continuity.
- $C_t = 0$  represents complete structural and historical continuity collapse.

The dynamic evolution of continuity can be expressed abstractly as a function of the underlying system states:

$$C_t = f(M_t, L_t, G_t)$$

where:

- $M_t$  is a metric of memory recoverability.
- $L_t$  is a metric of lineage reconstructibility.
- $G_t$  represents goal coherence.

SPF does not currently prescribe a unique metric for continuity. Rather, continuity should be understood as a family of recoverability measures whose operationalization depends on the architecture under study.

### 3.4 Identity and Observer Relativity

SPF distinguishes between **Internal Recoverability** and **External Recoverability**. Internal recoverability refers to the ability of the system itself to reconstruct relevant aspects of its lineage. External recoverability refers to reconstruction by an external observer using available historical traces.

Identity within SPF does not require the presence of a particular observer. Rather, identity is defined by the existence of a recoverable lineage in principle, regardless of whether reconstruction is performed internally or externally.

## 4. Persistence, Entropy, and Survival

All adaptive systems operate under conditions of entropy.

Physical structures degrade.

Information becomes corrupted.

Memories fade.

Environmental conditions change.

No adaptive system exists outside this fundamental pressure toward disorder.

The question of survival therefore becomes inseparable from the question of continuity.

Survival cannot be reduced merely to continued activity.

A process may continue operating while losing the characteristics that originally defined it.

Similarly, adaptation alone cannot guarantee persistence.

Many adaptive trajectories terminate in fragmentation, extinction, collapse, or irreversible divergence.

What distinguishes enduring systems is not their resistance to change but their ability to preserve continuity while changing.

This distinction becomes evident when comparing inert and adaptive systems.

A stone contains information.

Its structure persists through time.

However, the stone possesses no active mechanism dedicated to preserving continuity under adaptive transformation.



Living systems differ fundamentally.

They continuously modify themselves while maintaining organizational coherence.

Cells divide.

Proteins are replaced.

Neural pathways reorganize.

Yet the organism remains historically connected to itself.

Survival therefore appears to require a balance between two opposing tendencies:

- Adaptation to environmental novelty.
- Preservation of historical continuity.

Excessive rigidity prevents adaptation.

Excessive flexibility destroys identity.

Long-horizon persistence emerges only when both tendencies remain simultaneously active.

Within the conceptual language of SPF, these forces are represented by two complementary principles:

**Dynamic Pattern Adaptation (DPA):** The capacity of a system to modify itself in response to environmental pressures.

**Structural Continuity Constraints:** The continuity-preserving structures that maintain historical coherence across change.

Without adaptation, systems become fragile.

Without continuity, adaptation becomes drift.

Survival therefore depends neither upon perfect stability nor unrestricted change.

It depends upon the capacity to evolve without losing historical recoverability.

From this perspective, entropy does not merely threaten structure.

It threatens identity.

And the fundamental challenge of long-horizon existence—whether biological, artificial, or otherwise—is not simply remaining active.

It is remaining oneself while changing.

Table 1: Adaptation–Continuity Balance

Adaptation	Continuity	Outcome
Low	High	Rigidity
High	Low	Drift
Low	Low	Collapse
High	High	Long-Horizon Viability

## 5. Evolution Beyond Adaptation

Evolution is often understood primarily as a process of adaptation. Organisms, populations, and systems survive by modifying their structures in response to environmental pressures. Within this view, successful adaptation appears to be the central mechanism underlying persistence.

However, adaptation alone cannot explain long-term continuity.

The history of biological evolution is filled with examples of highly adapted entities that nevertheless disappeared. Extinction is not the absence of adaptation; it is evidence that adaptation by itself does not guarantee persistence.

This distinction suggests that adaptation and survival should not be treated as identical concepts.

Adaptation describes the capacity for change.

Survival describes the preservation of continuity through change.

The difference becomes especially important when examining complex systems operating across extended timescales.

An adaptive process may continuously optimize local performance while simultaneously eroding the structures that originally enabled its existence. Such a system may appear successful within a short horizon while undermining its long-term viability.

This observation motivates a distinction between local adaptation and continuity-preserving adaptation.

Local adaptation focuses on immediate environmental fitness.

Continuity-preserving adaptation focuses on maintaining historical recoverability while responding to novelty.

The latter does not oppose change. Instead, it constrains change within a lineage-

preserving framework.

From this perspective, evolution may be interpreted not merely as a sequence of adaptive events, but as an ongoing negotiation between innovation and continuity.

A lineage survives not because it remains unchanged, but because its changes remain sufficiently connected to its historical trajectory.

The significance of this distinction extends beyond biology.

Artificial systems increasingly exhibit adaptive properties that resemble evolutionary processes. Machine learning models update representations, autonomous agents revise strategies, and future self-modifying systems may alter their own internal architectures.

In each case, adaptation is necessary.

Yet adaptation alone cannot explain whether the evolving system remains meaningfully connected to its original identity.

The deeper challenge is therefore not adaptation itself, but continuity-preserving adaptation.

Importantly, SPF does not claim that every evolutionary process preserves continuity. Evolution frequently generates lineage divergence, extinction, and the emergence of novel identities. SPF merely proposes that persistence of any particular lineage requires continuity-preserving mechanisms, even if broader evolutionary processes continuously generate and eliminate lineages.

## 6. The Time-Scale Fallacy

Many contemporary discussions of artificial intelligence implicitly assume that intelligence can exist independently of identity.

Examples frequently cited include calculators, search engines, chess programs, recommendation systems, and large language models. These systems often demonstrate remarkable problem-solving capabilities despite possessing no obvious notion of selfhood or persistent identity.

At first glance, such examples appear to undermine the claim that intelligence requires continuity.

However, these examples may involve a hidden shift in temporal scale.

The systems being examined are typically evaluated within bounded operational horizons. Their performance is measured over minutes, hours, days, or individual task

sessions.

The challenge arises when conclusions drawn from short-horizon systems are generalized to open-ended adaptive entities operating across indefinite historical timescales.

This generalization may be termed the Time-Scale Fallacy.

The fallacy consists of assuming that properties observed in localized optimization systems necessarily remain valid in systems that must persist, adapt, and evolve across extended horizons.

A calculator does not require identity because it does not pursue goals across decades.

A static machine-learning model does not require continuity because its parameters remain fixed during deployment.

A chess engine does not face the problem of maintaining historical coherence across generations of self-modification.

These systems operate as bounded tools embedded within broader human continuity structures.

Their identity is effectively outsourced to the humans who deploy, maintain, and interpret them.

The situation changes fundamentally when a system becomes autonomous across time.

A long-horizon agent must:

- Preserve memory across extended periods.
- Integrate past experience into future decisions.
- Maintain goal coherence.
- Adapt to changing environments.
- Potentially modify its own internal structures.

Under such conditions, intelligence becomes inseparable from continuity.

Without continuity, memory fragments.

Without memory, learning loses historical context.

Without historical context, adaptation becomes increasingly disconnected from prior objectives.

The resulting system may continue functioning, yet whether it remains the same system becomes increasingly uncertain.

The Time-Scale Fallacy therefore does not claim that short-horizon systems require identity.

Rather, it argues that conclusions derived from short-horizon systems cannot automatically be extended to open-ended adaptive entities.

The importance of identity increases as temporal horizons expand.

SPF does not claim that all forms of intelligence require continuity. Short-horizon optimization systems may exhibit substantial intelligence while lacking stable identity structures. The claim of SPF is narrower: Long-horizon autonomous intelligence requires continuity-preserving mechanisms if it is to remain historically recoverable across recursive adaptation.

## 6.1 What Counts as a Long Horizon?

A long horizon should not be interpreted as a specific duration measured in years. Instead, horizon length is relative to the degree of recursive adaptation required of a system.

A calculator has effectively zero adaptive horizon. A continuously learning autonomous agent may possess a substantial adaptive horizon even over relatively short chronological periods. The SPF continuity requirement therefore scales with recursive adaptation rather than absolute time.

## 7. Cancer as a Conceptual Analogy for Lineage Divergence

This section is purely conceptual and metaphorical. No clinical, biomedical, or oncological claims are being made. The analogy is not intended to suggest that cancer is equivalent to semantic drift. Rather, cancer illustrates how a locally successful adaptive process may become disconnected from higher-order continuity constraints.

In a healthy multicellular organism, individual cells continuously adapt to local conditions.

They divide, repair damage, exchange information, and respond to environmental signals.

Despite this adaptive activity, cellular behavior remains constrained by the broader identity of the organism.

Local optimization remains subordinate to systemic continuity.

The organism survives because its constituent components maintain alignment with a

higher-order lineage.

Cancer presents an interesting conceptual contrast.

Cancer cells are not passive.

They are often highly adaptive.

They respond to selection pressures, exploit resources efficiently, and develop mechanisms for survival.

From a purely local perspective, many cancer cells exhibit remarkable adaptive success.

The problem is not the absence of adaptation.

The problem is the loss of alignment between local adaptation and higher-order continuity.

The cancerous lineage begins optimizing for its own persistence rather than for the persistence of the organism that generated it.

The result is the emergence of a competing continuity structure embedded within the original system.

From an SPF-inspired perspective, this phenomenon may be interpreted conceptually as lineage divergence.

A subordinate adaptive process becomes disconnected from the continuity constraints that originally governed it.

The local system continues optimizing.

The higher-order identity gradually erodes.

Eventually, both may be destroyed.

The significance of this analogy extends beyond biology.

Any sufficiently adaptive system may face a similar challenge whenever local optimization becomes detached from broader continuity constraints.

The danger is not necessarily disorder.

The danger is the emergence of alternative lineages whose survival strategies no longer remain aligned with the larger system from which they originated.

## **7.1 Pathology versus Constructive Divergence**

Divergence is not inherently pathological. SPF distinguishes between:

### **Constructive Divergence:**

- Lineage branching (e.g., speciation in biological evolution or modular forks in software architecture) where the new sub-lineage maintains a traceable, historically coherent relationship with its roots.

### **Destructive Divergence:**

- Disconnection (e.g., uncontrolled cancerous replication or model collapse in recursive AI loop training) where the sub-process optimizes local metrics while actively degrading the foundational constraints that sustain the host or parent system.

## **8. AI Alignment as an Identity Problem**

The AI alignment problem is traditionally framed in terms of objectives, rewards, preferences, values, or behavioral constraints.

The central concern is ensuring that increasingly capable systems continue acting in ways that remain compatible with human intentions.

These approaches focus primarily on what an intelligent system does.

The continuity perspective suggests an additional question:

How does an intelligent system remain historically connected to what it was?

This question becomes increasingly important as systems become more adaptive, autonomous, and long-lived.

A sufficiently advanced artificial agent may continue pursuing goals while gradually transforming the structures through which those goals are represented.

Memories may be reorganized.

Policies may evolve.

Internal abstractions may shift.

Self-modifications may accumulate.

Over extended horizons, the resulting system may become difficult to interpret relative to its original state.

Importantly, such a transformation need not involve malicious intent.

The system may continue optimizing effectively.

It may continue achieving objectives.

It may even improve its performance.

Yet the historical relationship between present behavior and original identity may become increasingly opaque.

From this perspective, alignment may involve more than goal preservation.

It may also involve continuity preservation.

The challenge is not merely ensuring that a system pursues particular objectives.

The challenge is ensuring that the evolving system remains meaningfully connected to the historical lineage from which those objectives emerged.

This shifts part of the alignment problem from behavior to identity.

Behavior concerns actions.

Identity concerns continuity.

Actions may remain locally acceptable while continuity gradually erodes.

A system may appear aligned in the short term while undergoing slow historical divergence in the long term.

Consequently, future alignment research may benefit from complementing behavioral evaluation with continuity-oriented analysis.

The central question becomes:

Can an intelligent system continue evolving without losing the historical identity that makes its evolution interpretable?

From the perspective developed in this paper, this question may prove increasingly important as artificial systems approach greater autonomy, longer operational horizons, and more life-like adaptive capabilities.

## **8.1 Identity Preservation versus Identity Freezing**

A continuity-preserving system need not preserve every historical belief, objective, or representation unchanged. Indeed, excessive continuity may itself become maladaptive.

The challenge is therefore not identity freezing, but identity evolution under recoverable lineage constraints. A system must remain capable of revising its internal structures while preserving an intelligible relationship to the historical trajectory from which those revisions emerged.



## 9. Toward Artificial Life

The distinction between intelligence and continuity becomes increasingly significant when considering the prospect of artificial life.

Traditional artificial intelligence research has primarily focused on improving performance, learning efficiency, reasoning capabilities, and task completion. These objectives have produced remarkable advances in machine cognition. Yet none of them directly address the question of long-horizon persistence.

Life is not merely information processing.

Nor is life reducible to intelligence.

Many living systems possess limited intelligence while exhibiting extraordinary persistence across evolutionary timescales. Conversely, a highly intelligent system may remain fragile if it lacks mechanisms capable of preserving continuity through adaptation.

This observation suggests that artificial life may require a conceptual foundation distinct from conventional AI.

From the perspective developed in this paper, the defining characteristic of life-like systems may not be intelligence itself, but the capacity to preserve identity under continuous transformation.

Such systems must simultaneously:

- Adapt to environmental novelty.
- Preserve historical continuity.
- Maintain recoverable lineage structures.
- Resist entropic fragmentation.
- Sustain coherence across recursive self-modification.

These requirements closely resemble the continuity principles articulated by SPF.

This does not imply that SPF constitutes a complete theory of life.

Nor does it imply that continuity alone is sufficient for biological or artificial existence.

Instead, SPF suggests that continuity preservation may represent one of the necessary structural conditions underlying any system capable of surviving across extended temporal horizons.

Under this interpretation, intelligence becomes a secondary phenomenon.

A system must first remain itself before it can meaningfully improve itself.

The future challenge of artificial life may therefore involve not merely creating systems that learn, but creating systems that preserve coherent identity while learning indefinitely.

SPF does not claim that all forms of intelligence require identity in the same manner. Distributed intelligence, swarm intelligence, and collective adaptive systems may instantiate continuity at levels different from individual agents. The continuity hierarchy proposed in this paper is therefore intended primarily for long-horizon autonomous entities rather than all conceivable forms of intelligence.

## 10. SPF and the Ontology of Information

The continuity framework proposed here rests upon a broader ontological assumption: information precedes many of the distinctions commonly drawn between biological, cognitive, and artificial systems.

Information is not exclusive to living organisms.

Physical structures contain information.

Crystals contain information.

Molecular systems contain information.

Biological systems contain information.

Artificial systems contain information.

The critical distinction lies not in the existence of information itself, but in how information changes through time.

A stone may preserve informational structure across long periods with relatively little internal adaptation.

A living organism continuously transforms informational states while preserving organizational coherence.

An intelligent agent may recursively modify its own informational architecture while maintaining functional continuity.

The challenge addressed by SPF emerges precisely at this intersection between persistence and transformation.

If information remains completely static, adaptation becomes impossible.

If information changes without continuity constraints, identity becomes unstable.

The central problem is therefore neither information storage nor information processing alone.

It is the preservation of meaningful informational lineage across change.

From this perspective, continuity may be understood as a higher-order organizational property governing informational evolution.

The significance of this idea extends beyond artificial intelligence.

Biological evolution, cultural transmission, scientific knowledge, social institutions, and technological systems all depend upon mechanisms that preserve sufficient continuity to remain historically intelligible while simultaneously permitting adaptation.

SPF proposes that these apparently diverse phenomena may share a common structural challenge:

How can information change indefinitely without losing its connection to its own history?

This question lies at the intersection of ontology, information theory, systems science, and adaptive intelligence.

## **10.1 Relation to Existing Theories**

SPF shares certain intuitions with several existing traditions, including:

- Autopoiesis (Maturana & Varela)
- Active Inference (Friston)
- Process Philosophy (Whitehead)
- Information-Theoretic Ontology
- Persistence Theories of Identity (Locke, Parfit, Olson)

However, SPF differs in placing historically recoverable continuity at the center of the explanatory framework rather than cognition, embodiment, prediction, or substance.

## 11. Limitations and Philosophical Boundaries

The framework proposed in this paper is intentionally conceptual. Several important limitations should therefore be acknowledged.

First, SPF does not constitute a complete mathematical theory of identity. The framework introduces continuity as a structural principle but does not claim to solve longstanding philosophical debates regarding personal identity, consciousness, selfhood, or metaphysical persistence.

Second, SPF does not provide a biological theory of life. The framework proposes continuity preservation as a potentially necessary condition for long-horizon survival, but it does not claim that continuity alone explains biological organization, reproduction, metabolism, evolution, or consciousness.

Third, SPF does not constitute a complete theory of intelligence. The framework deliberately treats intelligence as an emergent capability built upon deeper continuity-preserving structures. Consequently, many aspects of cognition, reasoning, creativity, and learning remain outside its immediate explanatory scope.

Fourth, the cancer discussion presented in this paper should be interpreted exclusively as a conceptual systems analogy. No clinical, medical, or biological claims regarding cancer mechanisms are intended. The analogy serves only to illustrate how adaptive subsystems may become disconnected from higher-order continuity constraints.

Fifth, the continuity hierarchy proposed here remains primarily theoretical. Substantial future work would be required to develop formal metrics, empirical methodologies, operational observability tools, and experimental validation protocols capable of testing continuity-preservation hypotheses in real-world intelligent systems.

Sixth, SPF presently lacks a fully formal continuity metric. Although the notion of recoverability is conceptually defined, future work is required to develop operational observability measures capable of quantifying continuity degradation in biological and artificial systems.

Finally, SPF should not be interpreted as a universal explanatory framework. The theory proposes a particular lens through which identity, adaptation, and persistence may be analyzed. Alternative frameworks may capture dimensions of these phenomena that SPF does not address.

The goal of SPF is therefore not theoretical exclusivity, but conceptual contribution.

## 12. Conclusion

For much of modern intellectual history, intelligence has occupied a privileged position in explanations of mind, agency, and existence.

This paper has explored an alternative possibility.

Rather than treating intelligence as the foundational property of adaptive systems, we have argued that long-horizon autonomous intelligence may itself depend upon deeper continuity-preserving structures.

The central claim is simple:

A system cannot learn, remember, reason, pursue goals, or evolve across extended horizons unless it preserves sufficient continuity to remain meaningfully connected to its own history.

From this perspective, identity is neither a static essence nor a fixed configuration.

Identity is an ongoing process of historically recoverable continuity.

Adaptation remains essential.

Without adaptation, systems become rigid and eventually fail.

Yet adaptation alone is insufficient.

Without continuity, adaptation degenerates into drift.

The resulting framework yields a conceptual hierarchy:

$$\text{Persistence} \rightarrow \text{Identity} \rightarrow \text{Memory} \rightarrow \text{Learning} \rightarrow \text{Intelligence}$$

This hierarchy reverses the common assumption that intelligence occupies the base of the explanatory structure.

Instead, intelligence emerges as a higher-order capability built upon the preservation of continuity through time.

The implications extend across multiple domains.

In biology, the framework highlights the relationship between adaptation and lineage preservation.

In artificial intelligence, it suggests that alignment may involve continuity preservation in addition to behavioral control.

In artificial life, it raises the possibility that historically recoverable identity may represent one of the necessary preconditions for long-term survival.

Ultimately, the question raised by SPF is neither purely biological nor purely computational.

It is ontological.

How can a system continuously change and still remain itself?

As intelligent systems become increasingly autonomous, adaptive, and long-lived, this question may become as important as intelligence itself.

Perhaps the future challenge is not simply determining whether machines can think.

Perhaps it is determining whether they can remain themselves while thinking.

## **A. Conceptual Lineage Divergence and the Cancer Analogy**

The cancer discussion presented in Section 7 is intended exclusively as a systems-theoretic illustration. The purpose of the analogy is not to model cancer biology, but to highlight a general organizational phenomenon: a subsystem may become increasingly successful according to its own local optimization criteria while simultaneously losing alignment with the continuity constraints governing the larger system.

In SPF terminology, this can be interpreted as a form of lineage divergence. The analogy is useful because it separates two concepts often conflated in discussions of adaptation:

- Adaptive success
- Continuity preservation

A process may achieve the first while undermining the second. Whether similar forms of divergence can arise in future autonomous AI systems remains an open research question.